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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 38002-0054

Applicant(s): Wun Chey SIN et al.

Confirmation No.: 5281

Serial No.: 10/623,810

Examiner: M. Yu

Filing Date: July 22, 2003

Group Art Unit: 1642

Title: AMPLIFICATION AND OVEREXPRESSION OF ONCOGENES

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

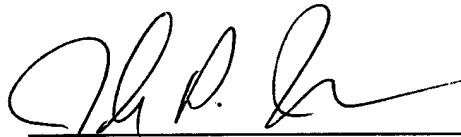
Applicants believe that the instant Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits under 37 CFR §1.97(b)(3), therefore, no fee is required in connection with its filing.

English translations of the foreign-language documents may not be readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.98 and MPEP §609).

Document Nos. **A05, A09, A12 - A16 and A18** are submitted herewith on the attached CD because of the size of the documents. The first page of the published applications are also attached.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,



John P. Isacson  
Reg. No. 33,715

August 13, 2004  
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|  |            |                    |   |  |            |                                 |                               |
|--|------------|--------------------|---|--|------------|---------------------------------|-------------------------------|
| Sheet 1 of 6<br>Form PTO/SB/08A  |            |                    |   | ATTY DOCKET NO.<br><b>38002-0054</b>       |            | SERIAL NO.<br><b>10/623,810</b> |                               |
| <b>LIST OF REFERENCES CITED<br/>BY APPLICANT(S)</b>                      |            |                    |   | APPLICANT(S)<br><b>Wun Chey SIN et al.</b> |            |                                 |                               |
|  |            |                    |   | FILING DATE<br><b>July 22, 2003</b>        |            | GROUP<br><b>1642</b>            |                               |
| Date Submitted: <b>August 13, 2004</b>                                   |            |                    |   |  |            |                                 |                               |
| U.S. PATENT DOCUMENTS  |            |                    |   |  |            |                                 |                               |
| *EXAMINER<br>INITIAL   |            | DOCUMENT<br>NUMBER | DATE<br>(M/D/Y)                         | NAME                                       | CLASS      | SUBCLASS                        | FILING DATE IF<br>APPROPRIATE |
|  | A01        | 4,109,496          | 08/29/78                                | Allemann et al.                            | 70         | 380                             |                               |
|  | A02        | 4,816,567          | 03/28/89                                | Cabilly et al.                             | 530        | 387                             |                               |
|  | A03        | 5,093,246          | 03/03/92                                | Cech et al.                                | 435        | 91                              |                               |
|  | A04        | 4,376,110          | 03/08/83                                | David et al.                               | 436        | 548                             |                               |
|  | <b>A05</b> | <b>6,300,064</b>   | <b>10/9/01</b>                          | <b>Knappik et al.</b>                      | <b>435</b> | <b>6</b>                        |                               |
|  | A06        | 4,946,778          | 08/07/90                                | Ladner et al.                              | 435        | 69.6                            |                               |
|  | A07        | 6,518,404          | 2/11/03                                 | Li et al.                                  | 530        | 387.9                           |                               |
|  | A08        | 6,232,068          | 05/15/01                                | Linsley et al.                             | 435        | 6                               |                               |
|  | <b>A09</b> | <b>5,508,384</b>   | <b>4/16/96</b>                          | <b>Murphy et al.</b>                       | <b>530</b> | <b>324</b>                      |                               |
|  | A10        | 6,169,173          | 1/2/01                                  | Wank                                       | 536        | 23.5                            |                               |
|  | A11        | 5,225,539          | 07/06/93                                | Winter                                     | 530        | 387.3                           |                               |
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|  | <b>A12</b> | <b>WO92/16623</b>  | <b>10/1/92</b>                          | <b>WIPO</b>                                |            |                                 |                               |
|  | <b>A13</b> | <b>WO00/70049</b>  | <b>11/23/00</b>                         | <b>WIPO</b>                                |            |                                 |                               |
|  | <b>A14</b> | <b>WO01/40291</b>  | <b>6/7/01</b>                           | <b>WIPO</b>                                |            |                                 |                               |
|  | <b>A15</b> | <b>WO01/77172</b>  | <b>10/18/01</b>                         | <b>WIPO</b>                                |            |                                 |                               |
|  | <b>A16</b> | <b>WO01/94587</b>  | <b>12/13/01</b>                         | <b>WIPO</b>                                |            |                                 |                               |
|  | A17        | WO01/94629         | 12/13/01                                | WIPO                                       |            |                                 |                               |
|  | <b>A18</b> | <b>WO02/061087</b> | <b>8/8/02</b>                           | <b>WIPO</b>                                |            |                                 |                               |
|  | A19        | JP11-313681        | 11/16/99                                | Japan                                      |            |                                 | Abstract                      |
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| Sheet 3 of 6  |     |  |   |
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